

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Naoki Anzai et al.

Serial No.: 10/509,550

Filed: 09.28.2004

For: A SOLID ELECTROLYTIC  
CAPACITOR AND  
MANUFACTURING METHOD  
THEREOF

Patent Examiner: Not yet assigned

Group Art Unit: Not yet assigned

June 14, 2005

Irvine, California 92614

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 USC 371

Mail Stop PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 USC 371 mailed 04/25/2005, enclosed is the executed oath for filing in the above-identified case. Also, attached is a copy of the 371 Formalities Letter, a third Preliminary Amendment and an Information Disclosure Statement with PTO Form 1449 and copies of the cited references.

Please charge the surcharge of \$130.00 for late filing of this oath to our deposit account

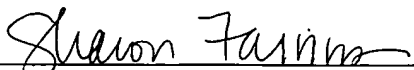
No. 19-2814.

05/10/2005 09:00 AM 00000000 00000000

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If there are any questions with regard to this matter, please do not hesitate to contact the undersigned attorney.

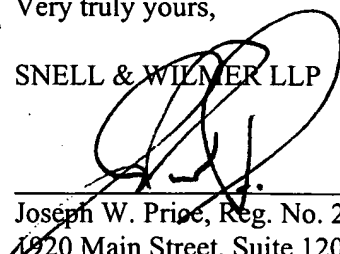
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on June 14, 2005 by Sharon Farnus

  
Signature

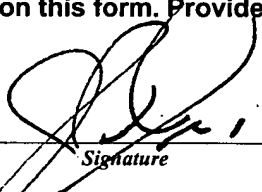
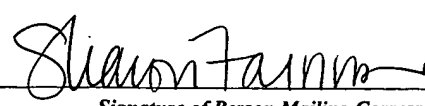
Date of Signature: June 14, 2005

Very truly yours,

SNELL &amp; WILMER LLP

  
Joseph W. Price, Reg. No. 25,124  
1920 Main Street, Suite 1200  
Irvine, CA 92614  
949/253-4920

Customer No. 21611

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>					Docket No. <b>42598-2900</b>	
Applicant(s): <b>Naoki Anzai et al.</b>						
Application No. <b>10/509,550</b>	Filing Date <b>09.28.2004</b>	Examiner <b>Not yet assigned</b>	Customer No. <b>21611</b>	Group Art Unit	Confirmation No. <b>5916</b>	
Invention: <b>A SOLID ELECTROLYTIC CAPACITOR AND MANUFACTURING METHOD THEREOF</b>						
<b><u>COMMISSIONER FOR PATENTS:</u></b>						
Transmitted herewith is an amendment in the above-identified application.						
The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	20 -	25 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	14 -	14 =	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. <b>19-2814</b> in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>19-2814</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038.						
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>						
 Signature			Dated: <b>June 14, 2005</b>			
<b>Joseph W. Price</b> Reg. 25,124 <b>SNELL &amp; WILMER LLP</b> 1920 Main Street, Suite 1200 Irvine, CA 92614  Tel: 949-253-4920 Fax: 949-955-2507			<div style="border: 1px solid black; padding: 5px;">         I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on  <div style="text-align: center;"> <b>June 14, 2005</b>            (Date)         </div>           Signature of Person Mailing Correspondence  <div style="text-align: center;"> <b>Sharon Farnus</b>            Typed or Printed Name of Person Mailing Correspondence         </div> </div>			
CC:						



## UNITED STATES PATENT AND TRADEMARK OFFICE

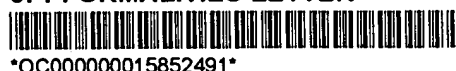
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/509,550	Naoki Anzai	42598-2900

INTERNATIONAL APPLICATION NO.	
PCT/JP03/04025	
I.A. FILING DATE	PRIORITY DATE
03/28/2003	03/28/2002

21611  
 SNELL & WILMER LLP  
 1920 MAIN STREET  
 SUITE 1200  
 IRVINE, CA 92614-7230

CONFIRMATION NO. 5916  
 371 FORMALITIES LETTER



\*OC000000015852491\*

Date Mailed: 04/25/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/28/2004
- English Translation of the IA filed on 09/28/2004
- Copy of the International Search Report filed on 09/28/2004
- Copy of IPE Report filed on 09/28/2004
- Copy of Annexes to the IPER filed on 09/28/2004
- Preliminary Amendments filed on 09/28/2004
- Oath or Declaration filed on 09/28/2004
- U.S. Basic National Fees filed on 09/28/2004
- Priority Documents filed on 09/28/2004

RESPONSE DUE 6-25-05  
 ACTION Oath, Fee &  
additional claim fee

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 SNELL & WILMER

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$1800** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$1930** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Additionally the following defects have been observed:

- The translations of Annexes are canceled since the translations were not submitted prior to 30 months the priority date.
- Total additional claim fee(s) for this application is **\$ 1800**
  - **\$1800** for **41** total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,550	PCT/JP03/04025	42598-2900